

個人資料收集聲明（「通知」）

《個人資料（私隱）條例》（「條例」）適用於本集團，即康宏環球控股有限公司及其附屬公司（「我們」、「我們的」）從申請人（「你」、「你的」）不定時收集、持有、處理及使用之個人資料和其他資訊（「資料」）。進一步資料請參閱載於我們網址的私隱政策聲明：www.convoy.com.hk。本通知是我們收集、持有、處理和使用資料的基礎。

如果你不向我們提供你的資料，我們可能無法處理你的產品和/或服務申請。

任何「本集團成員」均指本集團的附屬公司、子公司、聯營單位及任何分行及辦事處。

目的

你同意你的資料可用於以下目的：

- a) 處理證券服務申請或其他產品和/或服務申請(如適用);
- b) 驗證或評估與你有關的任何資料和紀錄;
- c) 你和我們之間的通訊;
- d) 提供與你的賬戶/理賠相關的服務;
- e) 你帳戶的管理、運作和維持;
- f) 行使我們與你的合約協議賦予的權利，包括向你追收欠款;
- g) 促銷或由我們或任何本集團成員設計供你使用的產品或服務;
- h) 遵守我們或本集團成員所履行之任何義務、要求或安排，以符合法律、規則、法規、守則或指引;及
- i) 與上述用途相關或附帶的任何其他目的。

傳遞

資料將予保密，但我們可能會將你的資料在香港境內或境外向以下各方轉移或披露：

- 我們或本集團任何成員的任何代理、承包商、次承包商或服務提供商（包括但不僅限於在香港或其他司法管轄區的其他經紀人）;
- 任何對我們或本集團任何成員有保密責任及已承諾保密該等資料的人士;
- 任何代表你或計劃代表你進行交易的當事人;
- 信貸資料服務機構以及在出現欠賬時，將該等資料提供給收帳公司;
- 我們的任何實際或建議承讓人或我們就閣下的權利的參與人或附屬參與人或承讓人;
- 任何交易所、結算所、任何本地或外國法律、監管、政府、稅務、執法機構或監管機構或其他當局，或自律監管或行業機構或金融服務提供商協會(如適用); 為遵守義務、要求或根據任何現在或將來的合同或其他方式的安排，我們或本集團任何成員公司由於其財務、商業、業務或其他利益或活動，在相關交易所，結算所，當地的管轄區內或與之相關的或外國法律、監管、政府、稅務、執法或其他當局，或自律或行業機構或協會承擔或施加的承諾; 關於與你申請的抵押相關的資料（如適用;作為借款人、抵押人或擔保人，不論以你名義或與他人聯名），在 2011 年 4 月 1 日或之後，以下與你相關的資料（包括任何以下的不定期更新資料）可由我們代表和/或代理人提供予信貸資料服務機構：

1. 全名;
2. 每項按揭貸款的身份（作為借款人、按揭人或擔保人，不論以你名義或與他人聯名）;
3. 身份證號碼或旅行證件號碼;
4. 出生日期;
5. 通訊地址;
6. 每項按揭貸款的按揭帳號;
7. 每項按揭貸款的按揭類型;
8. 每項按揭貸款的按揭賬戶狀況（例如: 生效、已結束、已撇賬）; 及
9. 每項按揭貸款的按揭賬戶結束日期（如有）。

信貸資料服務機構會使用由我們提供的上述資料統計個別資料當事人（不論以你名義或與他人聯名）作為借款人、按揭人或擔保人身分不時於香港信貸提供者間持有的按揭宗數，並存於信貸資料服務機構的個人信貸資料庫內供信貸提供者共用（須受符合根據該條例核准及發出的《個人信貸資料實務守則》的規定所限）。

- 任何機關、任何執法機構或監管機構遵守適用的法律、規則、法規、準則和指南或在任何法律、規則、法規、準則的要求下，我們或本集團任何成員對其具有義務以滿足法律上可強制執行的披露的任何個人或實體。

使用 cookies

當你瀏覽我們的網站時，cookies 將存儲在你的電腦或電子設備的瀏覽器中。在網站中使用 cookies 的目的是記錄你在網站中所選擇的不同字體大小，以及在所有在線上表格中進行安全檢查（要求你輸入屏幕上顯示的驗證代碼的測試）。大多數瀏覽器預設為接受 cookies。你可以選擇通過禁用此類設置以不接受 cookies。然而，如禁用它們，你可能無法利用我們網站的全部功能，包括在線服務。本網站不使用 cookies 來收集你的個人資料。

直接促銷

我們擬使用你在開戶表格 / 申請表 / 客戶協議中直接提供的資料（即你的姓名、地址、電子郵件地址、傳真和電話）作直接促銷。除非我們已經徵得你的同意，否則我們將不會這樣使用。請簽署此表格前勾選下面的方格以表明你的反對意見：

我反對在直接促銷中建議使用我的資料

就直接促銷而言，如果你日後希望選擇拒絕我們對你的任何資料的使用以用作直接促銷目的，你可以以書面形式提交給個人資料保護主任。

查閱

你有權：

- 檢查我們是否持有你的資料並查閱該資料;
- 要求我們更正任何不準確的資料; 及
- 查明與你的資料相關的政策和措施。

我們保留向你收取合理費用的權利，以遵守你的任何查閱資料要求。

聯繫方式

資料保護主任

香港灣仔莊士敦道 68 號互信大廈

聲明

通過簽署本通知，我同意我已閱讀，理解並同意通知及上文所載的條款及細則。

申請人簽署 / 授權簽署及公司印章（如適用）	日期 日/月/年
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版本：二零二零年七月

中英文版本如有歧異，一概以英文版為準。

Personal Information Collection Statement (the “Notice”)

The Personal Data (Privacy) Ordinance (the “**Ordinance**”) governs the collection, holding, processing and use of your personal data and other information that the Group, namely Convoy Global Holdings Limited and its subsidiaries, (“**we**”, “**us**”, “**ours**”) may collect from the applicant (“**you**”, “**your**”) from time to time (the “**Data**”). Further information is set out in our Privacy Policy Statement located at: www.convoy.com.hk and this Notice is the basis upon which we collect, hold, process and use the Data.

If you do not provide your Data to us, we may be unable to process the application for the product(s) and/or service(s) you are applying for.

Any “**member of the Group**” means the Group’s affiliates, subsidiaries, associated entities and any of their branches and offices.

Purpose

You agree that your Data may be used for the following purposes:

- a) where applicable, processing the application for the securities services or for the product(s) and/or service(s);
- b) verifying or assessing any information and records relating to you;
- c) communication between you and us;
- d) provision of the services in connection with your account/claims;
- e) management, operation and maintenance of your account;
- f) exercising our rights under the contractual agreement(s) with you, including collecting amounts outstanding from you;
- g) marketing or designing products or services provided by us or any member of the Group;
- h) meeting any obligations, requirements or arrangements, which we or any member of the Group should comply with, as required by laws, rules, regulations, codes or guidelines; and
- i) other purposes related or incidental to any of the above.

Transfer

Data will be kept confidential by us, but we may transfer or disclose your Data to the following parties whether within or outside Hong Kong:

- any agents, contractors, subcontractors, or service providers (including but not limited to other brokers, whether in Hong Kong or other jurisdictions) who provide services to us or any member of the Group in connection with the operation or maintenance or marketing of our business;
- any person under a duty of confidentiality to us or any member of the Group which has undertaken to keep such information confidential;
- any party enters into or proposes to enter into the transaction for and on your behalf;
- credit reference agencies or, in the event of default, debt collection agencies;
- any actual or proposed assignee of ours or participant or sub-participant, transferee, delegate, successor or party as stated in the Privacy Policy Statement of our rights in respect of you;
- where applicable, any exchanges, clearing houses, any local or foreign legal, regulatory, governmental, tax, law enforcement agencies or regulatory bodies or other authorities, or self-regulatory or industry bodies or associations of financials services providers for compliance with the obligations, requirements or arrangements according to any present or

future contractual or other commitment that is assumed by or imposed on us or any member of the Group by reason of its financial, commercial, business or other interests or activities in or related to the jurisdiction of the relevant exchanges, clearing houses, local or foreign legal, regulatory, governmental, tax, law enforcement or other authority, or self-regulatory or industry bodies or associations; with respect to data in connection with mortgages applied by you (if applicable, and whether as a borrower, mortgagor or guarantor and whether in your sole name or in joint names with others) on or after 1 April 2011, the following data relating to you (including any updated data of any of the following data from time to time) may be provided by us, on its own behalf and/or as agent, to a credit reference agency:

1. full name;
2. capacity in respect of each mortgage (as borrower, mortgagor or guarantor, and whether in your sole name or in joint names with others);
3. identity card number or travel document number;
4. date of birth;
5. correspondence address;
6. mortgage account number in respect of each mortgage;
7. type of the facility in respect of each mortgage;
8. mortgage account status in respect of each mortgage (e.g. active, closed, write-off; and
9. if any, mortgage account closed date in respect of each mortgage.

The credit reference agency will use the above data supplied by us for the purposes of compiling a count of the number of mortgages from time to time held by you with credit providers in Hong Kong, as borrower, mortgagor or guarantor respectively and whether in the your sole name or in joint names with others, for sharing in the consumer credit database of the credit reference agency by credit providers (subject to the requirements of the Code of Practice on Consumer Credit Data approved and issued under the Ordinance).

- any authorities, any law enforcement agencies or regulatory bodies for compliance with applicable laws, rules, regulations, codes and guidelines or any person or entity to whom we or any member of the Group are under a binding obligation to satisfy a legally enforceable demand for disclosure under the requirements of any law, rule, regulation, code, guideline.

Use of Cookies

When you browse our websites, cookies will be stored in your computer or electronic device's browser. The purposes of using cookies in the sites are to remember the different font size you have chosen in the sites and also to facilitate the security checking (the test that asks you to enter the validation code displayed on screen) in all the online forms. Most browsers are by default set to accept cookies. You have a choice not to accept the cookies by disabling such settings. However, by disabling them, you may not be able to utilise the full functions of our websites, including online services. The websites do not use cookies to collect your personal data.

Direct Marketing

We intend to use your Data (i.e. your name, address, email address, fax and telephone number) you provided in the Account Opening Form/Application Form/Client Agreement for direct marketing, but we will not use the Data unless we have received your consent.

Please indicate your objection before signing this form by ticking the box below:

